



SSA POLICY 8020.10

CHIEF INFORMATION OFFICER RATINGS

Originating Component: Office of Systems/Office of the Chief Information Officer

Effective: March 5, 2019 (Version 1)

Updated Version Effective: *January 15, 2020 (Version 2)*

Releasability: Cleared for public release.

Approved by: Rajive Mathur, Deputy Commissioner for Systems/Chief Information Officer

Updated Version Approved by: *Rajive Mathur, Deputy Commissioner of Systems/Chief Information Officer*

- 1. Purpose.** This policy:
 - a. Implements Office of Management and Budget (OMB) Circular No. A-11, Chapter 11302 and 11315 of Title 40, United States Code (U.S.C.), and OMB Memorandum M-15-14.
 - b. Establishes the policy for the Chief Information Officer (CIO) to rate investments according to risk as defined in SSA Directive 8000.01.
- 2. Applicability.** This policy applies to all investments required to report a risk rating as defined in the OMB IT Budget Capital Planning Guidance.
- 3. Policy.**
 - a. Quarterly Health**
 - (1) In accordance with Chapter 11302 of Title 40, U.S.C., the CIO consults with appropriate agency officials for a quantitative and qualitative risk assessment as defined in the Quarterly Health Questionnaire Frequently Asked Questions.

- (2) The assessment includes the factors as defined in the IT Budget Capital Planning Guidance and incremental development data in accordance with SSA Policy 8020.05.
- (3) In accordance with Chapter 11315 of Title 40 and OMB Circular A-11 the CIO:
 - i. Assesses the major investments according to risk based on the quarterly health report.
 - ii. Updates CIO rating and/or comment on the Federal IT Dashboard, including for budget case submissions.

b. Additional Risk Assessments

- (1) In accordance with the IT Budget Capital Planning Guidance, after a TechStat occurs, the CIO performs a risk assessment and updates the CIO rating and/or comment on the Federal IT Dashboard.
- (2) The CIO rating and/or comment can be updated at any time at the discretion of the CIO.

4. Approval.

X



Rajive Mathur
Chief Information Officer

REFERENCES

Office of Management and Budget Circular No. A-11, "Preparation, Submission, and Execution of the Budget," June 28, 2019

Office of Management and Budget Guidance, "FY 2021 IT Budget and Capital Planning Guidance," June 28, 2019

Office of Management and Budget Memorandum M-15-14, "Management and Oversight of Federal Information Technology," June 10, 2015

SSA Directive 8000.01, "Chief Information Officer Responsibilities," January 15, 2020

SSA Manual, "Quarterly Health Questionnaire Frequently Asked Questions (FAQ)," June 8, 2018

SSA Policy 8020.05, "Certification of Incremental Development," January 15, 2020

United States Code, Title 40



GLOSSARY

All definitions and acronyms can be found in SSA Manual 8200.01 Issuance Library Glossary.



CHANGE HISTORY

| Version | Date | Description of Change |
|------------|------------|---|
| 8020.10 V1 | 03/5/2019 | Original CIO-Approved Version |
| 8020.10 V2 | 01/15/2020 | Updated requirement that CIO Ratings be performed for all investments. Added reference to SSADIR 8000.01. Updated references section. |

